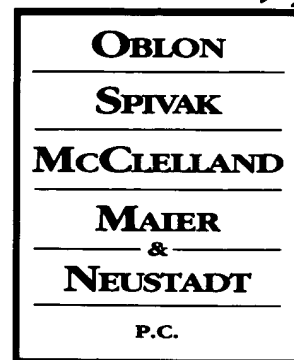


Rec'd PCTO

MAY 2002



May 1, 2002

UNITED STATES PATENT AND TRADEMARK OFFICE  
Commissioner of Patents  
Office of PCT Legal Administration  
Crystal Plaza Two, 8<sup>th</sup> Floor  
Box PCT, Washington D.C., 20231

ATTORNEYS AT LAW  
C. IRVIN McCLELLAND  
(703) 413-3000  
IMCCELLAND@OBLON.COM

Attn: PCT Legal Office

Re: Deposit Account # 150030

Enclosed is a copy of page 3 of our deposit account statement of 3/29/02. Note the highlighted charges on **serial number 10/019,282 for \$108.00 on fee code #966 and \$420.00 on fee code #964.**

When this application was filed on 1/2/02, no payment was made for extra claims. On 4/11/02 a preliminary amendment was filed. At that time payment was made for 29 extra total claims (12 additional claims filed with the original application and 17 additional claims filed with the preliminary amendment for a total of 29) and 5 extra independent claims (filed with original application). There are no additional fees due.

**Please review this file and refund \$528.00 to our deposit account #150030.**

Copies of the appropriate paperwork are attached.

If you have questions concerning this request, please contact Debbie Noel at (703) 412-6296.

With best regards,

OBLON, SPIVAK, McCLELLAND  
MAIER & NEUSTADT, P.C.

C. Irvin McClelland

CIM/djn  
Enclosures



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

Account No.	150030
Date	3-29-02
Page	3

NORMAN F. OBLON

FINA

CRYSTAL SQUARE FIVE, SUITE 400  
1755 S JEFFERSON DAVIS HWY  
ARLINGTON VA 22202

PLEASE SEND REMITTANCES TO:  
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
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AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

OSMM&N File No. 217770US0PCT By NFO/rkm Due Date 01-02-02  
/FF

Serial No. New U.S. PCT Application based on PCT/JP00/04285

In the matter of the Application of Takashi SHIBATA et al.

For GENE ENCODING CYCLIC LIPOPEPTIDE ACYLASE AND EXPRESSION OF THE SAME

The following has been received in the U.S. Patent Office on the date stamped hereon:

☒ 39 pgs. Specification 26 Claims (English Translation) 32 pgs. Sequence Listing

☐ Combined Declaration, Petition & Power of Attorney ( pages)

☐ Petition to Revive Under 37 C.F.R. 1.137 (b)

☒ Notice of Priority;

☒ Check for \$ 1020.00;

☒ Dep. Acct. Order Form

☐ Assignment pages/PTO-1595

☒ Drawings 2 sheets

☒ PCT Transmittal Letter

☐ Preliminary Amendment

☒ PCT/IB/304

☒ PCT/IB/308

☐ Letter Regarding Claim to Small Entity Status

☐ International Preliminary Examination Report

☒ Information Disclosure Statement;

☒ PTO-1449

☐ Cited References ( )

☐ Statement of Relevancy

☐ List of Related Cases

☐ Cited Pending Applications(#)

☒ International Search Report

☐ Request for Consideration of Documents Cited in International Search Report

☐ Letter Regarding Translation of Annexes

☐ Translation of Annexes to International Preliminary Examination Report

Amended Sheets ( )

SERIAL NO.

**09/926851**

10/019,282

DATE RECEIVED

**98 Rec'd PCT/PTO 02 JAN 2002**

☐ CLAIMING SMALL ENTITY STATUS

# CLAIM CALCULATION SHEET

<u>IND DEP</u>				<u>IND DEP</u>				<u>IND DEP</u>				<u>IND DEP</u>				<u>IND DEP</u>				<u>IND DEP</u>			
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Independent 8  
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Independent 8  
Dependent 41  
Total 49

Independent \_\_\_\_\_  
Dependent \_\_\_\_\_  
Total \_\_\_\_\_

O.S.&M. File No. 217770US0PCT

By NFO/rkm/FF

Due date 05/19/02

Serial No. 10/019,282

In the Matter of the Application of Takashi SHIBATA et al.

For GENE ENCODING CYCLIC LIPOPEPTIDE ACYLASE AND  
EXPRESSION OF THE SAME

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ \_\_\_ pgs. Specification, \_\_\_ Claims (English Translation)
- ☒ Response to Notice of Missing Requirement Under 35 U.S.C. 371
- ☒ Combined Declaration, Petition & Power of Attorney (3 pages)
- ☒ Copy of Notification of Missing Requirements
- ☒ Preliminary Amendment
- ☐ Petition for Extension of Time
- ☒ Check for \$942.00; ☒ Dep. Acct. Order Form
- ☐ Verified Statement (Declaration) Claiming Small Entity Status
- ☐ Submission of Verified Statement (Dec.) Claiming Small Entity Status
- ☐ Declaration of \_\_\_\_\_
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings \_\_\_ sheets
- ☐ List of Related Cases
- ☐ Information Disclosure Statement; ☐ PTO-1449
- ☐ Cited References ( )
- ☐ \_\_\_\_\_ Search Report
- ☐ Statement of Relevancy

**Rec'd PCT/PTO 11 APR 2002**  
DATE RECEIVED

Docket No. 217770US0PCT

IN RE APPLICATION OF: Takashi SHIBATA et al.

SERIAL NO: 10/019,282

FILED: January 2, 2002

FOR: GENE ENCODING CYCLIC LIPOPEPTIDE ACYLASE AND EXPRESSION OF THE SAME

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Response to Notification/Notification of Missing Requirements/Filing Receipt Declaration/Check for \$942.00

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	49	MINUS	20	29	x \$18 =	\$522.00
INDEPENDENT	8	MINUS	3	5	x \$84 =	\$420.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS					+ \$280 =	\$0.00
TOTAL OF ABOVE CALCULATIONS						\$942.00
<input type="checkbox"/> Reduction by 50% for filing by Small Entity						\$0.00
<input type="checkbox"/> Recordation of Assignment					+ \$40 =	\$0.00
TOTAL						\$942.00

- ☒ A check in the amount of **\$942.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



22850

Customer Number 22850  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/01)

Norman F. Oblon  
Registration No. 24,618  
Surinder Sachar  
Registration No. 34,423